# RNA polymerase-induced remodelling of NusA produces a pause enhancement complex

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### ABSTRACT

Pausing during transcription elongation is a fundamental activity in all kingdoms of life. In bacteria, the essential protein NusA modulates transcriptional pausing, but its mechanism of action has remained enigmatic. By combining structural and functional studies we show that a helical rearrangement induced in NusA upon interaction with RNA polymerase is the key to its modulatory function. This conformational change leads to an allosteric repositioning of conserved basic residues that could enable their interaction with an RNA pause hairpin that forms in the exit channel of the polymerase. This weak interaction would stabilize the paused complex and increases the duration of the transcriptional pause. Allosteric spatial re-positioning of regulatory elements may represent a general approach used across all taxa for modulation of transcription and protein-RNA interactions.

# INTRODUCTION

Transcriptional pausing, where RNA polymerase (RNAP) temporarily stalls prior to resuming RNA synthesis, is a fundamental process in all living cells. In eukaryotes, pausing is required for mRNA capping (1), efficient splicing that occurs co-transcriptionally (2,3), and control of transcript levels during cell differentiation (4). Pausing by RNAPII is enhanced by the RNA binding subunit of the transcription factor NELF which binds RNA within the loop of stem–loop structures (5–7). In bacteria, pausing is required for transcription termination, coordination of transcription and translation, formation of RNA secondary structures, recruitment of regulatory factors, protein folding during coupled transcription-translation and DNA repair (8–11). There are two main classes of transcriptional pausing in

bacteria. Class I pauses involve the formation of an RNA hairpin in the exit channel of RNAP, whereas class II pauses do not require the formation of RNA secondary structure and are prone to backtracking (12). Class I pause activity is enhanced by the essential elongation factor NusA. Although the requirement for an RNA stem–loop structure is known, the mechanism of action of NusA remains only partially understood after ~40 years of study (13–17).

The N-terminal domain of NusA (NusA<sub>N</sub>), which carries the pause activity, binds adjacent to the RNA exit channel on or near a structure called the  $\beta$ -flap tip helix (FTH) (16,18). Transcriptional pausing is a pre-requisite step in termination and NusA<sub>N</sub> is sufficient for viability in *Escherichia coli* strains carrying a mutation (*E134D*) in the gene encoding the transcription terminator protein Rho (13,15,19). Thus, transcriptional pausing is a fundamentally important process in bacteria. Understanding how NusA<sub>N</sub> is able to mediate transcription pausing requires detailed structural data on the NusA<sub>N</sub>- $\beta$ -flap complex, but this has proved recalcitrant to X-ray crystallographic analysis and the FTH is often not resolved in RNAP structures, presumably due to it being mobile or unstructured.

In this study we used nuclear magnetic resonance (NMR)-based approaches to study the structure, dynamics and interaction of NusA<sub>N</sub> and the RNAP  $\beta$ -flap from the model Gram-positive organism *Bacillus subtilis*. We show that NusA<sub>N</sub> is inherently flexible, adopting more than one conformation in solution. Upon interaction with the FTH, NusA<sub>N</sub> is allosterically induced to adopt a conformation that changes the arrangement of a distal helical motif. The terminal helix in this motif contains a series of highly conserved basic amino acids that we show are critical for pause enhancement. We propose that the helical remodelling of NusA<sub>N</sub> enhances the interaction with the phosphodiester backbone of RNA pause hairpins, which leads to stabilization of the pause complex, providing a mechanism by which this key biological function is performed.

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#### MATERIALS AND METHODS

#### **DNA** manipulation

All cloning was carried out using *E. coli* DH5 $\alpha$  (Gibco BRL; Supplementary Table S1). All plasmids used and constructed in this work were confirmed by DNA sequencing, and are listed in Supplementary Table S1. Primers are listed in Supplementary Table S2.

A fragment of *B. subtilis rpoB* containing the  $\beta$ -flap (residues 784–923; corresponding to residues 830–1058 of the *E. coli*  $\beta$  subunit) was inserted into pETMCSIII to produce pNG812 (Supplementary Table S1) for overproduction of protein used in NMR studies.

*B. subtilis* NusA<sub>N</sub> (residues 1–124) was inserted into pETMCSIII to produce pNG1072 (Supplementary Table S1) containing an N-terminal hexahistidine tag that could be removed upon digestion with tobacco etch mosaic virus (TEV) protease. The tag-less *B. subtilis* NusA<sub>N</sub> was used for NMR studies.

Wild-type and mutant NusA<sub>N</sub> sequences from *B. subtilis* and *E. coli* were polymerase chain reaction (PCR) amplified and cloned into pETMSCIII (Supplementary Table S1) to create N-terminal His-tagged proteins, and into pNG767 (Supplementary Table S1) for GST-tagging. GST-tagged proteins were used in ELISA (below).

Amino acid substitutions were introduced into RNAP and recombinant enzyme was purified using the system described previously (20).

#### **Protein purification**

<sup>13</sup>C/<sup>15</sup>N- or <sup>15</sup>N-labelled *B. subtilis* β-flap was overproduced using the approach described previously (21). Cell pellets were resuspended in 20 mM KH<sub>2</sub>PO<sub>4</sub> pH 8.0, 500 mM NaCl, 0.1-mM 4-(2-aminoethyl)benzenesulfonyl fluoride (AEBSF) along with a trace of DNase I. Following cell disruption and clarification, lysates were loaded onto Ni-NTA resin (Qiagen), washed in lysis buffer containing 15mM imidazole and eluted with 200 mM imidazole. Samples were dialysed against 20 mM KH<sub>2</sub>PO<sub>4</sub> pH 7.0, 150 mM NaCl, 0.1 mM ethylenediaminetetraacetic acid (EDTA) for 2.5 h, and protein was concentrated using a spin concentrator (Amicon, 3-kDa cut-off membrane) prior to gel filtration (Superdex 75 10/300; GE Healthcare). Fractions containing β-flap were pooled and reconcentrated prior to use in NMR.

<sup>15</sup>N/<sup>13</sup>C-labelled NusA<sub>N</sub> was produced using an approach similar to that described previously (21). Freshly transformed *E. coli* BL21(DE3) (Supplementary Table S1) cells were used to inoculate 21 of LB medium supplemented with 100-μg/ml ampicillin. The culture was grown to A<sub>600</sub> of 0.7–0.8, the cells harvested by centrifugation and the pellet washed with 500 ml of NMR salts solution (21). The resuspended cells were again harvested by centrifugation and the pellet resuspended in 250 ml NMR labelling medium (21). The resuspended cells were grown at 37°C for 1 h and protein overproduction induced by addition of IPTG to a final concentration of 0.5 mM. The induced culture was then grown overnight at 18°C. Cell pellets were stored at –80°C.

Cell pellets were resuspended in 20 mM  $KH_2PO_4$  pH 7.8, 500 mM NaCl, 20 mM imidazole, 0.02% (w/v) phenyl-

methylsulfonyl fluoride (PMSF) and a trace of DNase I. Following lysis and clarification, cleared lysates were loaded onto a 5 ml HisTrap<sup>TM</sup> HP column, washed and eluted with resuspension buffer containing 500 mM imidazole. Peak fractions were dialysed against 20 mM KH<sub>2</sub>PO<sub>4</sub> pH 7.0, 150 mM NaCl, 0.1-mM EDTA for 2.5 h, concentrated, gel filtered and reconcentrated as for the  $\beta$ -flap fragment (above).

Purified NusA<sub>N</sub> was then dialysed into TEV protease digestion buffer (20 mM Tris-HCl pH 7.8, 150-mM NaCl, 0.5 mM EDTA, 1 mM DTT). The dialysate was centrifuged at 10 000 g at room temperature for 20 min, then TEV protease (5–20 mg/ml) was added to the supernatant. The reaction mixture was incubated at room temperature overnight and digest efficiency assessed using sodium dodecyl sulphatepolyacrylamide gel electrophoresis. The His-tag was removed by passage of the sample through a 5-ml HisTrap HP column (GE Healthcare). The unbound sample was then washed from the column with 5 ml of 10-mM HEPES pH 7.8, 150 mM NaCl. Flow-through and wash fractions containing the target protein were pooled and re-concentrated.

All unlabelled proteins were overproduced in *E. coli* BL21(DE3) (Supplementary Table S1) by growing cells for 24 h at room temperature in auto-induction medium (22) supplemented with 100- $\mu$ g/ml ampicillin. Recombinant *E. coli* RNAP core enzyme and  $\sigma^{70}$  were purified as described previously (23). All GST-tagged proteins were purified as described previously (24).

#### NMR data acquisition

<sup>13</sup>C/<sup>15</sup>N-labelled β-flap and NusA<sub>N</sub> samples containing 5% D<sub>2</sub>O were filtered using a low-protein-binding Ultrafree-MC centrifugal filter (0.22 μm pore size; Millipore, MA, USA), then 300 μl was added to a susceptibility-matched 5 mm outer-diameter microtube (Shigemi Inc., Japan).

NMR data were acquired at 25°C using a 900-MHz AVANCE spectrometer (Bruker BioSpin, Germany) equipped with a cryogenically cooled probe. Data used for resonance assignment were acquired using non-uniform sampling (NUS); sampling schedules that approximated the rate of signal decay along the various indirect dimensions were generated using sched3D (25). The decay rates used were 1 Hz for all constant-time <sup>15</sup>N dimensions, 30 Hz for all <sup>13</sup>C dimensions and 15 Hz for the semi-constant indirect <sup>1</sup>H dimension of the H(CC)(CO)NH/(H)CC(CO)NH-TOCSY experiments. All pulse programs used were modified from the standard BRUKER library for NUS mode and are available from the authors upon request. <sup>13</sup>C- and <sup>15</sup>N-edited HSQC-NOESY experiments were acquired using linear sampling. Separate experiments were acquired for the aliphatic and aromatic regions of the <sup>13</sup>C dimension.

NUS data were processed using the Rowland NMR toolkit (www.rowland.org/rnmrtk/toolkit.html); maximum entropy parameters were selected automatically as described previously (26,27). NMR spectra were analysed and assigned using the program XEASY (28) or CcpNmr (29).  ${}^{11}H_N$ ,  ${}^{15}N$ ,  ${}^{13}C_{\alpha}$ ,  ${}^{13}C_{\beta}$  and  ${}^{13}C'$  resonance assignments were obtained from analysis of amide-proton strips in 3D HNCACB, CBCA(CO)NH and HNCO spectra. Sidechain  ${}^{11}H$  and  ${}^{13}C$  chemical shifts were obtained primarily from 3D H(CC)(CO)NH-TOCSY and (H)CC(CO)NH-TOCSY

spectra, respectively. The remaining sidechain assignments were derived from 3D H(C)CH-TOCSY and <sup>15</sup>N- and <sup>13</sup>C-edited NOESY-HSQC spectra.

#### Structure determination

Distance restraints for structure calculations were derived from 3D  $^{13}$ C- and  $^{15}$ N-edited NOESY-HSQC spectra acquired with a mixing time of 80 ms. NOESY spectra were manually peak picked and integrated. The peak lists were then assigned and an ensemble of structures calculated automatically using the CANDID module of the torsion angle dynamics package CYANA (30,31). The tolerances used for CANDID were 0.04 ppm in the indirect <sup>1</sup>H dimension, 0.02 ppm in the direct <sup>1</sup>H dimension, 0.2 ppm for the aromatic <sup>13</sup>C and <sup>15</sup>N dimensions, and 0.4 ppm for the aliphatic <sup>13</sup>C data.

Backbone dihedral-angle restraints (120  $\phi$  and 125  $\psi$  for  $\beta$ -flap and 114  $\phi$  and 116 for  $\psi$  for NusA<sub>N</sub>) were derived from TALOS+ chemical shift analysis (32); the restraint range was set to twice the estimated standard deviation. All X-Pro peptide bonds were clearly identified as *trans* on the basis of characteristic NOEs and the C<sub> $\beta$ </sub> and C<sub> $\gamma$ </sub> chemical shifts for the Pro residues.

CYANA was used to calculate 200 structures from random starting conformations, then the 20 conformers with the lowest CYANA target function were chosen to represent the structural ensemble. During the automated NOESY assignment/structure calculation process the CANDID module of CYANA assigned 91.3% of all NOESY crosspeaks (4470 out of 4891) for the  $\beta$ -flap and 89.7% (3958 out of 4412) for NusA<sub>N</sub>. The NusA structure appears to exist in two major stable conformations. Residues that could be assigned to the same atom but at different chemical shifts are given in Supplementary Table S3. Normalized <sup>1</sup>H/<sup>15</sup>N chemical shift changes ( $\Delta\delta$ ) were calculated using the equation  $\Delta\delta = 5|\delta(^{1}H)| + |\delta(^{15}N)|$ .

#### Determination of β-flap tip flexibility

NMR experiments for measuring  ${}^{1}\text{H}{}^{15}\text{N}$  steady state NOE and  ${}^{15}\text{N}$   $R_1$  and  $R_2$  relaxation rates were acquired using single-scan interleaved pulse sequences. A  ${}^{13}\text{C}$  refocussing pulse was used during  ${}^{15}\text{N}$  evolution and a heat compensation cycle was placed in the recovery time of  $R_2$  experiments.  $T_1$  delays were (in s): 0.01, 0.05, 0.1, 0.3, 0.5, 0.7, 0.9 and 1.1, where delays 0.3 and 0.9 were sampled twice for error estimation.  $T_2$  delays (CPMG) were (in s): 0.017, 0.034, 0.068, 0.085, 0.119, 0.170 and 0.204, with delays 0.017, 0.068 and 0.170 sampled twice for error estimation. The NOE saturation time was set to 4 s whilst an additional 5 s of interscan delay was used. The relaxation time in the  $R_1$  and  $R_2$  experiments was set to 3 s. NMR spectra were analysed using an in-house line-shape fitting tool to extract nuclear frequencies and integrals. These were subsequently analysed using Relax software (33).

#### Protein interaction studies by NMR

NMR titrations were conducted using <sup>15</sup>N HSQC experiments at 900 MHz, where increasing concentrations of an unlabelled protein were added to a fixed concentration of <sup>15</sup>N-labelled protein. The concentrations of proteins were optimized by running a series of trial experiments. In the optimized conditions, <sup>15</sup>N-labelled protein concentration was kept at 100 or 200  $\mu$ M (NusA<sub>N</sub> and  $\beta$ -flap, respectively) whilst unlabelled protein concentrations were varied at ratios of 1:0.1, 1:0.5, 1:1 and 1:2 for  $\beta$ -flap and 1:0.2, 1:0.4 and 1:0.8 for NusA<sub>N</sub>. Higher ratios resulted in extensive exchange broadening where only the terminal residues were detectable, thus saturation could not be achieved (see Supplementary Figures S2 and S5).

#### Molecular modelling

Molecular modelling was performed with UCSF Chimera (34). The previously published negative-stain electron microscopy structures of B. subtilis RNAP (EMDB: 1577) and RNAP in complex with NusA (EMDB: 1578) (18) were employed to guide the docking. The Thermus thermophilus elemental paused elongation complex (ePEC; PDB: 4GZZ) was docked into the RNAP electron density map by superimposing it onto the previously docked homology model of a *B. subtilis* elongation complex (18). The position of the FTH was manually rotated around the  $\beta$ -sheet region to mimic the flexibility of the FTH to open up the RNA exit channel. The NMR structure of the human PGY/MDR1 mRNA (PDB: 2GVO) was docked in the RNA exit channel by superimposing the 3' end onto the 5' end of the -11 position of the RNA transcript in the B. subtilis elongation complex (18). The hairpin was slightly rotated around its 3' end to minimize steric clash with the  $\beta$ ' and  $\beta$  subunits. The E. coli/B. subtilis NusA<sub>N</sub> NMR structures (PDB: 2KWP and 2MT4, respectively) were docked as rigid bodies into the electron density corresponding to NusA<sub>N</sub> of the RNAP–NusA complex structure (EMDB:1578), by placing residues important for RNAP binding in close proximity to the FTH. Independent experiments using HADDOCK (35) gave almost identical results. Images were created with Py-MOL (DeLano Scientific LLC, Palo Alto, CA, USA).

#### **Protein interaction assays**

ELISA was performed as described previously (24). To determine binding constants, serial dilutions of GST-tagged NusA<sub>N</sub> constructs were added to wells coated with  $\beta$ -flap fragment and binding constants calculated through double reciprocal plots.

Far-western blots were performed as described (18) except that NusA was detected using anti-NusA polyclonal antibodies (36) followed by overnight washing in wash buffer (20 mM Tris, 100 mM NaCl, 0.5 mM EDTA, 5% (w/v) glycerol, 0.1% (w/v) TWEEN, 5% (w/v) skim milk pH 7.6). Bound protein was detected using HRP-conjugated goat anti-rabbit antibody as described previously (37).

#### Single-round transcription assays

Template DNA used for transcription assays comprised a 477-bp fragment amplified from pIA226 (38) using primers 5'-GTGCTCATACGTTAAATCT-3' (forward)

and 5'-CAGTTCCCTACTCTCGCATG-3' (reverse). The PCR product was purified using a PCR clean-up kit (Promega) and eluted with DEPC-treated water. In vitro single-cycle transcription pausing assays were performed as described previously (16,39): Briefly, 0.5 µl of 2 µM core enzyme (Epicentre) was mixed with 1  $\mu$ l of 3  $\mu$ M  $\sigma^{70}$ , followed by  $3 \mu l (0.4 \mu g)$  of template DNA at room temperature and incubated at 37°C for 10 min to form an RNAP-promoter open complex. The transcription reaction was then made up to a final volume of 50 µl containing 40 mM Tris HCl (pH 7.9), 160-mM KCl, 10-mM MgCl<sub>2</sub>, 1-mM DTT, 5% glycerol, 10 µM each UTP and GTP, 0.2 mM ATP and 10 µCi of  $\alpha$ -<sup>32</sup>P UTP (3000 Ci/mmol). The reaction was allowed to proceed at 37°C for 5 min to form the elongation complex stalled at position +26 (EC26). All following reactions were performed at room temperature. Rifampicin was added to  $10 \,\mu\text{M}$  to prevent transcription reinitiation,  $1 \,\mu\text{l}$  of  $100 \,\mu\text{M}$ wild-type or mutant NusA<sub>N</sub> was then added to the reaction, followed by  $2 \mu l$  of 5 mM CTP to make the final concentration 0.2 mM. Five microliter of reaction mixture was transferred into 2 µl of RNA gel loading buffer (95% formamide, 0.05% bromophenol blue and 0.05% xylene cyanol) at 30 s, 60 s, 90 s, 2 min, 5 min and 10 min. The samples were heated at 90°C for 30 s and run on an 8% denaturing polyacrylamide gel that was pre-run for at least 90 min at 50°C. The gel was dried at 60°C for 1.5 h and imaged using a Typhoon Trio+ imager (GE healthcare). The relative band intensity was determined using ImageJ software (Version 1.46; NIH) and the  $T_{1/2}$  was calculated as described (40).

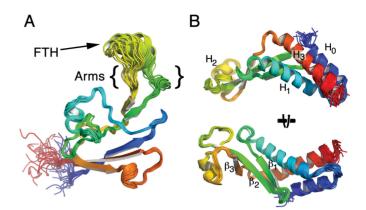
#### RESULTS

#### Structure of NusA<sub>N</sub> and the RNAP $\beta$ -flap

Prior to protein interaction and dynamics studies we used NMR to determine the structures of the *B. subtilis* RNAP  $\beta$ -flap (residues 784–923 of the RpoB subunit, equivalent to residues 830–1058 in *E. coli* RpoB) and the N-terminal domain of NusA (NusA<sub>N</sub>; residues 1–124). These proteins were highly amenable to NMR analysis; in particular the lack of the ~100-residue  $\beta$ i9 insert in the *B. subtilis*  $\beta$ -flap fragment expedited the NMR analysis (~16 versus ~26 kDa).

The  $\beta$ -flap structure was determined using tripleresonance heteronuclear NMR experiments acquired predominantly using NUS and processed using maximum entropy reconstruction; NMR refinement statistics are shown in Table 1, and the final ensemble of 20 structures is shown in Figure 1A. The structure is similar to those previously described for RNAP (RMSD <4.0 Å when compared against 65  $\beta$ -flap structures) where the FTH is connected to a long antiparallel  $\beta$ -sheet motif via two short  $\beta$ -strands (arms, Figure 1A).

The NMR-derived structure of NusA<sub>N</sub> revealed a bipartite fold with a globular domain dominated by three antiparallel  $\beta$ -sheets and an  $\alpha$ -helical bundle comprising helices H<sub>0</sub>, H<sub>1</sub> and H<sub>3</sub>. The final ensemble of 20 structures is shown in Figure 1B and structural statistics are shown in Table 1. Crucially, detailed analysis of the NMR data revealed that NusA<sub>N</sub> forms more than one stable conformation, with the dominant form, representing ~80% of the sample in so-



**Figure 1.** Structures of *B. subtilis*  $\beta$ -flap and NusA<sub>N</sub>. (A) Structure of  $\beta$ -flap shown as a chainbow from blue N-terminus to red C-terminus. FTH,  $\beta$ -flap tip helix. Arms, flexible regions lining the body of the  $\beta$ -flap to the FTH (see text for details). (B) NusA<sub>N</sub> shown as a chainbow from blue N-terminus to red C-terminus in two orientations. Bottom view is a rotation of the top view 90° into the page. The  $\alpha$ -helices and  $\beta$ -strands are numbered in NusA<sub>N</sub> for reference in text.

lution, presented in Figure 1B. It was not possible to determine any alternative structures as they only represented  $\sim 20\%$  of the population, but residues that existed in two stable conformations could be identified. Significantly, the data indicate that the N-terminal domain of NusA is a flexible structure that is in dynamic equilibrium between two different conformations in solution (see below).

# NusA binds to RNAP through interaction with the highly mobile $\beta\mbox{-}FTH$

Our NMR-based approach allowed us to examine conformational flexibility in solution (Supplementary Information and Supplementary Figure S1). The FTH region is assumed to be flexible as it is often un-resolved in crystal structures, and indeed in our structure the position of the FTH could not be well defined. Examination of  $T_1/T_2$  ratios that measure rotational and translational diffusion indicated that the FTH and arms were highly mobile with  $T_1/T_2$  ratios <12 (Figure 2A and C). The fast rotational correlation times (low  $T_1/T_2$  ratio) for this region could be an indication that it is unstructured, however, heteronuclear NOEs (Supplementary Figure S1A) and the random coil index (RCI S<sup>2</sup>; Figure 2B) revealed that most of the  $\beta$ -flap, including the FTH, is well structured. Therefore, the FTH is highly mobile due to flexibility in the arms around G850-E853 and G866-R870 (B. subtilis RpoB (β-subunit) coordinates; Figure 2B and arrows in Figure 2C) connecting it with the main body of the  $\beta$ -flap. This corresponds to E. coli B-subunit residues G891-Q894 and D912-S916. Such flexibility fits well with the known requirement for the RNA exit channel, of which the  $\beta$ -flap forms the outer wall, to accommodate up to three strands of RNA.

To determine the NusA binding site on RNAP, unlabelled NusA<sub>N</sub> was titrated against <sup>15</sup>N-labelled  $\beta$ -flap (Figure 3A). In these experiments increasing amounts of unlabelled NusA<sub>N</sub> were added and changes in the position (shift) and intensity (quenching) of the <sup>15</sup>N-labelled  $\beta$ -flap peaks were monitored (see solid line boxes in Figure 3A).

#### Table 1. Statistical analysis of NMR structures<sup>a</sup>

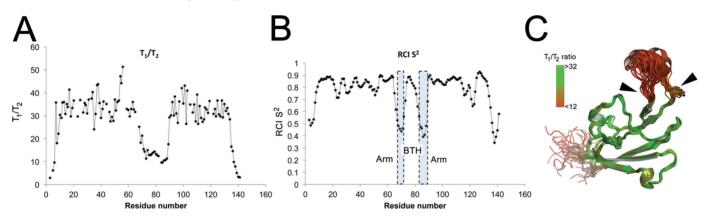
|  |  | β-flap            | NusA <sub>N</sub> |
|--|--|-------------------|-------------------|
| Experimental restraints <sup>b</sup>   |  |                   |                   |
| I Contraction of the second seco | Interproton distance restraints            |                   |                   |
|  | Intraresidue                               | 587               | 567               |
|  | Sequential                                 | 655               | 627               |
|  | Medium range $(i-j < 5)$                   | 301               | 541               |
|  | Long range $(i-j > 5^3)$                   | 607               | 672               |
|  | Dihedral-angle restraints $(f, y, c_1)$    | 245               | 230               |
|  | Total number of restraints per residue     | 15.4              | 19.4              |
| rms deviation from mean coordinate structure (Å)   | I  |                   |                   |
|  | Backbone atoms (residues 8-66 and 88-135)  | $0.44 \pm 0.09$   |                   |
|  | All heavy atoms (residues 8–66 and 88–135) | $1.05 \pm 0.10$   |                   |
|  | Backbone atoms (residues 74–88)            | $0.27 \pm 0.10$   |                   |
|  | All heavy atoms (residues 74–88)           | $0.90 \pm 0.25$   |                   |
| ms deviation from mean coordinate structure (Å)  | • • •                                      |                   |                   |
|  | Backbone atoms (residues 4–118)            |                   | $0.51 \pm 0.11$   |
|  | All heavy atoms (residues 4–118)           |                   | $0.92 \pm 0.10$   |
| Stereochemical quality <sup>c</sup>  |  |                   |                   |
|  | Residues in most favoured Ramachandran     | $93.9 \pm 1.0$    | $91.9 \pm 1.6$    |
|  | region (%)                                 |                   |                   |
|  | Ramachandran outliers (%)                  | $0 \pm 0$         | $0.3 \pm 0.5$     |
|  | Unfavourable sidechain rotamers (%)        | $10.4 \pm 1.6$    | $11.0 \pm 1.9$    |
|  | Clashscore, all atoms <sup>d</sup>         | $0 \pm 0$         | $0.07 \pm 0.1$    |
|  | Overall MolProbity score                   | $1.69 \pm 0.08$   | $1.77 \pm 0.08$   |
|  | -  | (90th percentile) | (86th percentil   |

<sup>a</sup>All statistics are given as mean  $\pm$  SD.

<sup>b</sup>Only structurally relevant restraints, as defined by CYANA, are included.

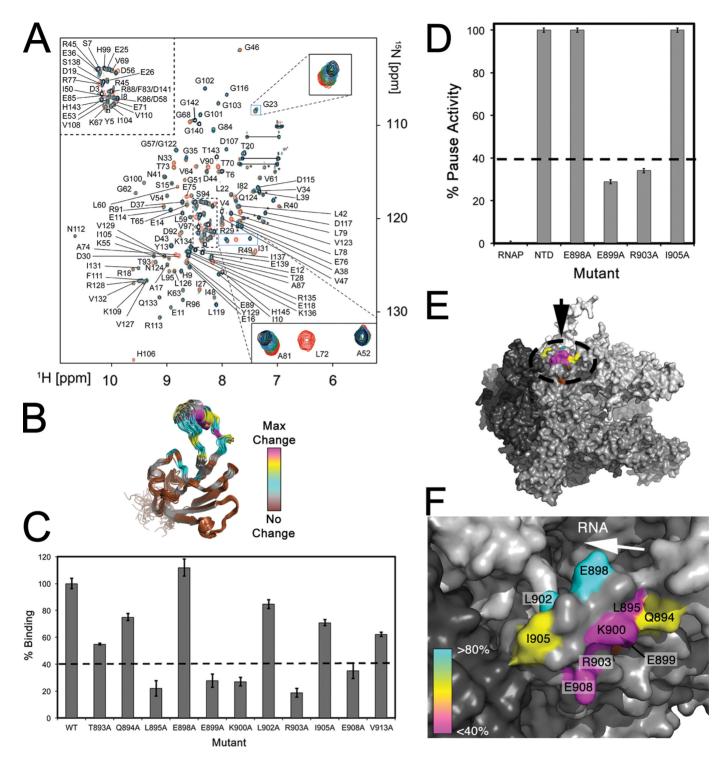
<sup>c</sup>As reported by MolProbity (http://molprobity.biochem.duke.edu).

<sup>d</sup>Defined as the number of steric overlaps > 0.4 Å per thousand atoms.



**Figure 2.** The FTH is structured but highly mobile. (A)  $T_1/T_2$  ratios that measure the rotational and translational diffusion rates plotted against residue number. (B) Random coil index (RCI)-based order parameters ( $S^2$ ) as predicted by Talos+ plotted against residue number. The disordered arm regions are shaded and the location of the FTH is indicated. (C) The FTH is structured but highly mobile due to flexible arms connecting it to the main body of the  $\beta$ -flap.  $T_1/T_2$  ratios from panel (A) mapped onto the structure from red (low) to green (high) indicate that the FTH is highly mobile with respect to the rest of the  $\beta$ -flap. The mobility of the well-defined FTH is due to flexibility in the arms (black arrowheads) that connect it to the  $\beta$ -flap.

for examples). Shifts and/or quenching of peaks indicates a change in the chemical environment of that residue that can point to its involvement in a protein–protein interaction. The NMR data revealed that a relatively small number of residues were affected, indicative of a highly localized interaction between NusA<sub>N</sub> and the  $\beta$ -flap. Analysis of the NMR data indicated that the interaction was concentrated at the FTH (Figure 3B), and this information was used to guide *in vitro* mutagenesis for functional studies using *E. coli* proteins. ELISA-based protein interaction assays indicated that residues L895, E899, K900, R903 and E908 (*E. coli*  $\beta$  subunit) are required for interaction with NusA<sub>N</sub> (Figure 3B). These results were confirmed using transcription pause assays with recombinant RNAP carrying alanine substitutions at E898, E899, R903 and I905 in the FTH. Based on the results of the protein binding assays shown in Figure 3C, changes at E899 and R903 would be expected to reduce/abolish NusA<sub>N</sub> binding, whereas changes at E898, or I905 which is implicated in binding to initiation factor  $\sigma$  region 4 (41), would not. As shown in Figure 3D, transcription pause activity was only affected by mutations at positions E899 and R903, indicating that NusA<sub>N</sub> binding is required for transcription pausing and that its binding site does not overlap with that of  $\sigma$  region 4, although binding of the proteins is mutually exclusive due to steric hindrance. Mapping on the structure of *E. coli* RNAP indicated that



**Figure 3.** NusA<sub>N</sub> binds to the outside edge of the mobile FTH. (**A**) Overlay of <sup>15</sup>N HSQC spectra of  $\beta$ -flap in the presence of increasing concentrations of unlabelled NusA<sub>N</sub> (red = control, green = 1:0.1, blue = 1:0.5, black = 1:1). Lines indicate glutamine or asparagine sidechain NH<sub>2</sub> groups and asterisks indicate arginine sidechain NH groups. (**B**) Schematic of the structure of  $\beta$ -flap colour coded according to the chemical shift perturbations observed upon titration with NusA<sub>N</sub> (brown, no perturbation; grey, cyan, yellow and magenta, increasing levels of perturbation). (**C**, **D**) Data for binding of NusA<sub>N</sub> to  $\beta$ -flap with residue changes at the positions indicated along the x-axis (*E. coli*  $\beta$  subunit numbering) determined by ELISA (C) and transcription pause assay (D). Binding strength or pause activity is relative to wild-type  $\beta$ -flap (WT, 100%). Results are from triplicate samples  $\pm$  SD. (**E**, **F**) NusA<sub>N</sub> binding data mapped onto *E. coli* RNAP ((E), modified from PDB 4IGC) and zoomed onto the FTH (F). The location of the FTH is indicated by a dotted circle in (E) and the arrow indicates the position of the view in (F).  $\alpha$ ,  $\beta$ ,  $\beta'$  subunits are shown in dark, mid and light grey, respectively;  $\omega$ -subunit, white. Relative contribution of residues to binding is colour coded from most (magenta) to least (cyan) important.

the residues required for interaction with NusA<sub>N</sub> reside on the outside edge of, and the arms adjacent to, the FTH (Figure 3E and F), the most mobile region of the  $\beta$ -flap.

# $NusA_N$ is a dynamic structure that exists in more than one conformation in solution

Determination of the NMR structure of NusA<sub>N</sub> was complicated by the presence of line broadening in some spectral regions and the fact that it adopted at least two different conformations. This is shown in Figure 4A where a portion of the 2D <sup>1</sup>H/<sup>15</sup>N spectrum has been enlarged. For some residues peaks can be clearly identified for a major (black) and minor (red) conformation (e.g. I56, see magenta and cyan asterisks, respectively, Figure 4A). Residues most affected by changes in conformation were identified based on the differences in their chemical shifts in the two forms (see Supplementary Table S3) and normalized <sup>1</sup>H/<sup>15</sup>N chemical shift changes ( $\Delta\delta$ ) are plotted for these residues in Figure 4B. These residues mapped almost exclusively to the  $\alpha$ -helical bundle and residues within the hydrophobic core (Figure 4B). There were also three distinct regions at the ends of H<sub>0</sub>, H<sub>1</sub> and H<sub>3</sub> that displayed resonance broadening beyond detection (indicative of high disorder), suggesting that the entire helix bundle can undergo movement independent of the rest of the molecule and that the helices themselves are not rigidly held together (magenta arrowheads, Figure 4C).

Comparison of all available NusA structures (NusA<sub>N</sub> and full length NusA) indicates that H<sub>3</sub> adopts one of the two positions with respect to the remainder of the Nterminal domain (Supplementary Figure S2) (42,43). Thus, the C-terminal helix of NusA<sub>N</sub> can adopt two different conformations that presumably exist naturally due to inherent flexibility of the  $\alpha$ -helical bundle in NusA<sub>N</sub>. This is particularly evident when comparing our structure with that from E. coli, which showed a significant translation of  $H_3$  and minor translation of  $H_0$  and  $H_1$  relative to the *B. subtilis* structure (dashed arrow, Figure 4C). This can be quantified by comparison of the RMSD values in a structural alignment of the two molecules. In the presence of H<sub>3</sub> the RMSD between the two structures is relatively large (4.0 Å), but exclusion of this helix improves the RMSD to 2.6 Å, highlighting the large conformational change in  $H_3$  (Supplementary Table S4).

The dramatic change in chemical shift seen for residue 156 (a residue that is conserved with respect to having a hydrophobic sidechain) is consistent with proximity to an aromatic side chain, where ring current effects could significantly alter chemical shifts of nearby residues in a distanceand orientation-dependent manner. The close proximity of F102 located at the edge of H<sub>3</sub> would suggest a reorientation of F102 with respect to I56, consistent with a repositioning of H<sub>3</sub>. Examination of the *B. subtilis* NusA<sub>N</sub> structure reveals a hydrophobic pocket near the head of H<sub>3</sub> (dotted circle, Figure 4D), and the same region is occupied by F102 in E. coli NusA<sub>N</sub> (Figure 4E). This suggests that rotation of F102 around I56 would: (1) lead to a local unwinding at the head of  $H_3$ ; (2) cause a translation of  $H_3$  to a position consistent with that observed for E. coli and P. limnophilus NusA<sub>N</sub>; and (3) allow F102 to stabilize this conformation

through occupation of the hydrophobic pocket and formation of alternative hydrophobic interactions (Figure 4D and E).

Combined, the NMR chemical shift, line broadening and structure comparison data indicate that the  $\alpha$ -helical bundle is mobile with respect to the rest of NusA<sub>N</sub>. To visualize the conformational change a homology model of NusA<sub>N</sub> from *B. subtilis* was created based on the reported *E. coli* NusA<sub>N</sub> structure. The change in structure required to morph between the two different conformational states provides a model that explains the chemical shift changes observed in the minor state of *B. subtilis*, and a structural basis for the conformational plasticity of H<sub>3</sub> in different NusA<sub>N</sub> structures (Supplementary Movie 1). We therefore propose that in solution NusA<sub>N</sub> exists in two alternate conformations, one consistent with our reported structure (conformation I) and the other similar to that reported for NusA<sub>N</sub> from *E. coli* (conformation II) (Supplementary Figure S2).

#### Binding to RNAP induces conformational change in NusA

In stark contrast to the reciprocal experiment to identify the NusA<sub>N</sub> binding site on the  $\beta$ -flap (above), titration of  $^{15}$ N-labelled NusA<sub>N</sub> with unlabelled  $\beta$ -flap led to extensive line broadening for  $\sim 65\%$  of residues (Figure 5A, yellow and cyan Figure 5B top). Strikingly, most of the broadened residues correspond to those that adopt different conformations in solution (magenta sticks, Figure 5B top) indicating that NusA<sub>N</sub> undergoes a conformational rearrangement upon binding the FTH that involves the  $\alpha$ -helical bundle and hydrophobic core. Extensive peak broadening in the  $\beta$ -sheet region was also observed exclusively in the titration experiments, suggesting that this region may be directly involved in interaction with the FTH (cyan on NusA<sub>N</sub>; Figure 5B). We performed extensive mutagenesis of  $NusA_N$ (Figure 5C), but the only residues found by ELISA to play a major role in the interaction with the  $\beta$ -flap were F59, R61, E94 and Q96 on  $\beta$ -strands 2 and 3 of NusA<sub>N</sub> (Figure 5D). When mapped onto the NusA<sub>N</sub> structure, residue changes that resulted in  $\leq 40\%$  binding activity formed a contiguous patch across the edge of the cleft (Figure 5B, bottom). These results were confirmed in transcription pause assays using a 100-fold molar excess of NusA<sub>N</sub> over RNAP (Figure 5E). The fact that transcription pause activity was  $\leq 20\%$  for the R61A and Q96A mutants suggests that these two NusA<sub>N</sub> residues are particularly important for interaction with the FTH. Overall, these results show that interaction between NusA<sub>N</sub> and the FTH is largely driven by charged residues that place NusA<sub>N</sub> on the outside edge of the RNA exit channel and that this interaction stimulates conformational rearrangement in the NusA<sub>N</sub>  $\alpha$ -helical bundle and hydrophobic core.

#### Highly conserved residues in a conformationally flexible region of $NusA_N$ are essential for transcriptional pause activity

Comparison of Nus $A_N$  sequences from a wide range of clinically and industrially important bacteria showed that sequence conservation is relatively low, even at the locations of residues shown to be required for interaction with the highly conserved FTH (Supplementary Figure S3), consistent with

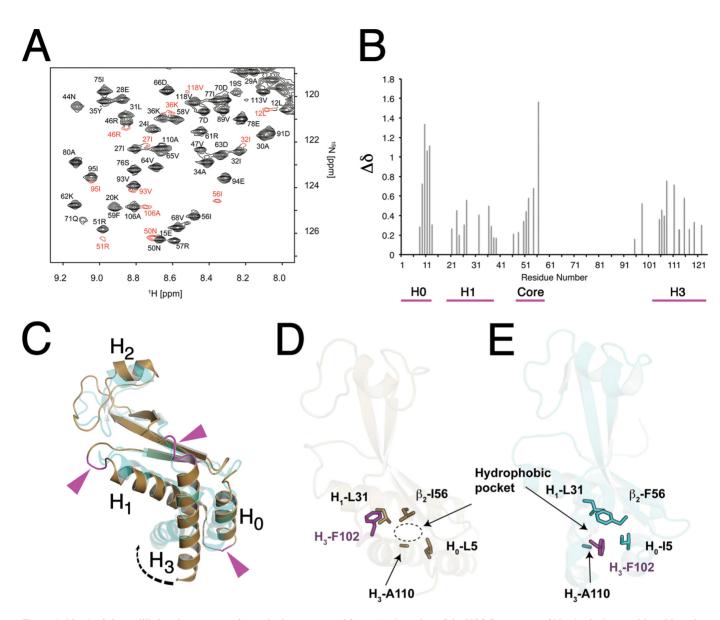


Figure 4. NusA<sub>N</sub> is in equilibrium between a major and minor structural form. (A) A section of the HSQC spectrum of NusA<sub>N</sub> is shown with residues that exhibit a large difference in chemical shift in the minor conformation coloured in red. Note the particularly large chemical shift for 156 in the major (magenta asterisk) and minor (cyan asterisk) conformations. (B)  $\Delta\delta$  plots based on chemical shift changes highlighting residues present in multiple conformations in *B. subtilis* NusA<sub>N</sub> plotted against residue number. Magenta line below indicates residues in the core and helical bundle in multiple conformations. (C) Superposition of the structure of *B. subtilis* (bronze) and *E. coli* (PDB 2KWP, cyan) NusA<sub>N</sub> showing how alternative conformations could form due to flexibility in regions of signal broadening (magenta sections and arrows) connecting the  $\alpha$ -helices to the rest of the molecule.  $\alpha$ -Helices are labelled from H<sub>0</sub> to H<sub>3</sub>. (D, E) Identification of a hydrophobic pocket and rotation of F102 (magenta) around residue 56 in *B. subtilis* ((D); bronze) and *E. coli* ((E); cyan). NusA<sub>N</sub> structures.

the relatively low binding affinities reported for the NusA– RNAP interaction (16,44). Strikingly, however, sequence conservation is high in the C-terminal portion encompassing H<sub>3</sub> (Supplementary Figure S3). This helix contains a series of highly conserved basic residues that, along with K36 and K37 in H<sub>1</sub>, form part of a basic patch that extends into the C-terminal S1, KH1 and KH2 domains of NusA that have been assigned a potential role in NusA function through interaction with the RNA transcript (45). Using our mutagenesis data that identified the residues involved in interaction between NusA<sub>N</sub> and the FTH, coupled with previous data obtained from low resolution EM and chemical crosslinking studies, we performed *in silico* docking onto the structure of RNAP in the elemental pause conformation (46) to produce a model of the complex (16,18). This docking indicated that NusA<sub>N</sub> must localize over the FTH so that  $\beta$ -strands 2 and 3 are adjacent to the outside edge of the FTH, resulting in the basic patch (magenta) lying proximal to the RNA exit channel in close proximity to the RNA pause hairpin (yellow, Figure 6A). Using this model we then investigated the importance of this basic patch that principally lies within the mobile H<sub>3</sub> region of NusA<sub>N</sub> on transcriptional pausing.

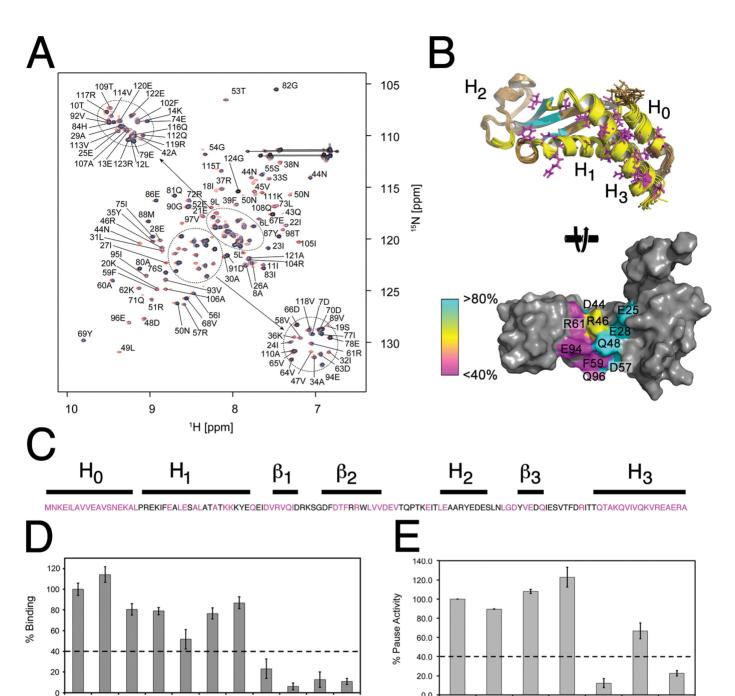


Figure 5. Identification of the FTH binding site on NusA<sub>N</sub>. (A) Overlay of <sup>15</sup>N HSQC spectra of NusA<sub>N</sub> in the presence of increasing concentrations of unlabelled  $\beta$ -flap (red = control, blue = 1:0.2 and black = 1:0.4). Lines indicate glutamine or asparagine sidechain NH<sub>2</sub> groups. (B) Top: *B. subtilis* NusA<sub>N</sub> with residues in more than one conformation shown in magenta, and those affected by binding to the  $\beta$ -flap shown in yellow (helical bundle and core) and cyan (β-sheet domain). Bottom: E. coli NusA<sub>N</sub> rotated 90° from the top view to show residues involved in interaction with the β-flap. Magenta, yellow and cyan colouring denotes importance from most to least important. (C) Sequence of E. coli NusAN with residues altered for binding/transcription pause assays in magenta. Lines above are labelled corresponding to secondary structure. (D, E) Data for binding of  $\beta$ -flap to NusA<sub>N</sub> with residue changes at the positions indicated along the x-axis (E. coli NusA<sub>N</sub> numbering) determined by ELISA (D) and transcription pause assay (E). Binding strength or pause activity is relative to wild-type NusA<sub>N</sub> (WT, 100%). Results are from triplicate samples  $\pm$  SD.

. Q96A

E25A E28A D44A R46A Q48A D57A F59A R61A E94A

WT

0.0

NTD

D44A

Q48A

R46A

R61A

E94A

Q96A

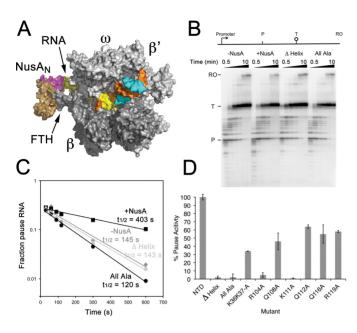


Figure 6. Identification of residues in the mobile  $H_3$  region of  $NusA_N$ required for transcription pause enhancement. (A) Model of NusA<sub>N</sub> (bronze) bound to  $\hat{RNAP}$  (grey) over the  $\beta$ -FTH (FTH). RNA within the exit channel is shown in yellow and NusA<sub>N</sub> residues that form the basic patch are shown in magenta. Template DNA strand (orange), nontemplate strand (cyan). (B-D) Measurement of transcription pause half lives. (B) Transcription pause assays with a schematic of the template shown above the gel. P, his pause site; T, termination site; RO, run-off (when RNAP falls off the end of template DNA). Wedges above gel indicate the relative time points at which samples were taken from 0.5 to 10 min (0.5, 1, 1.5, 2, 5 and 10 min). (C) Determination of transcription pause half-life  $(t_{1/2})$  using data from (B). (D) Half lives for NusA<sub>N</sub> constructs with altered basic patch residues. Half lives were determined in triplicate and presented as% pause activity relative to wild-type  $\pm$  SD.  $\triangle$  Helix, H<sub>3</sub> deleted; all Ala, residues K36, K37, R104, Q108, K111, Q112, Q116, R119 altered to alanine. Other amino acid changes are shown below their respective columns.

Since RNA duplex/hairpin formation is important for NusA-stimulated pause activity (16,17) we hypothesized that residues in the basic patch that our modelling shows to be in close proximity to the RNA exit channel may be functionally required for stabilization of pause hairpins. Alteration to alanine of K36 and K37 on H<sub>1</sub> along with the basic residues on H<sub>3</sub>, as well as deletion of H<sub>3</sub>, showed little effect on the ability of NusA<sub>N</sub> to bind RNAP (Supplementary Table S5). Therefore, these highly conserved basic residues and  $H_3$  have no role in NusA<sub>N</sub> binding to the  $\beta$ flap of RNAP. Crucially, however, deletion of H<sub>3</sub> or alteration of the NusA<sub>N</sub> basic patch resulted in total loss of transcription pause activity (Figure 6B-D), indicating that H<sub>3</sub> is functionally essential as it confers pause activity on NusA<sub>N</sub>. Closer examination of the contribution of individual amino acids to transcriptional pausing showed that most had little effect, but alteration of K36 and K37 on  $H_1$  resulted in a reduction of pause activity to  $\sim 40\%$  wild-type level indicating that they can contribute to the process (Figure 6D; Supplementary Figure S4). Strikingly, however, changing either R104 or K111 to alanine led to total loss of activity (Figure 6D; Supplementary Figure S4), showing that these highly conserved residues are essential for pause activity and are non-redundant. Thus, the basic patch and, in particular, R104 and K111 are required for RNA hairpindependent (class I) transcriptional pause activity.

#### DISCUSSION

We have shown that formation of a transcription pause complex involves the interaction between two highly flexible components of the transcription machinery, the FTH and NusA<sub>N</sub>. Furthermore, this interaction places key basic residues in close proximity to the RNA pause hairpin in the exit channel, which provides a mechanism for NusAstimulated pausing that is outlined below.

The NMR titration experiments showed that the binding surface of the  $\beta$ -flap is localized to the relatively small FTH region. By contrast, reciprocal experiments revealed a significant perturbation of the majority of the NusA<sub>N</sub> signals, spanning a much larger surface. Line broadening of residues far from the binding site of NusA<sub>N</sub> shows that the binding event allosterically modulates the chemical environment of these distal residues. The line broadening was observed for both the major and minor conformations and indicated that both conformations of NusA bind the  $\beta$ -flap with the same affinity, which is consistent with the binding site being in a non-flexible region of NusA. However, as the resonances of both conformations experience line broadening it suggests that there is an increase in the rate of interconversion between conformations I and II. This would increase the probability of a particular RNA motif to encounter conformation II, once the NusA<sub>N</sub>/ $\beta$ -flap complex is formed. The data, therefore, suggest that the  $\beta$ -flap is an allosteric modulator of NusA<sub>N</sub>, where the primary binding site, which serves to localize NusA<sub>N</sub> to RNAP, also leads to changes in the distal RNA binding region  $(H_3)$  of NusA<sub>N</sub>.

Intriguingly, the transition of NusA<sub>N</sub> from conformation I to II has a dramatic effect on the conformation of two invariant basic residues R104 and K111, which are essential for pause activity (Figure 6B). In conformation I (consistent with the structure shown in Figure 1B) the distance between the charges at the end of the sidechains is  $\sim$ 9–15 Å, whereas in the alternative structure (conformation II-consistent with E. coli NusA<sub>N</sub>) they are 15-23 Å apart, providing a separation range of 9–23 Å between the two states, which could allow for dynamic interaction with the emerging RNA transcript (Figure 7). The allosteric conformational change induced in NusA<sub>N</sub> upon binding to the FTH causes the separation between R104 and K111 to increase, leading to an enhanced ability to interact with the phosphodiester backbone of the RNA duplex (Figure 7B). This interaction stochastically stabilizes the pause hairpin, increasing  $\sim$ 3-fold the average time RNAP remains in a pause conformation (Figure 6B–D) (16,46,47).

NusA is known to associate with the bulk of transcribing RNAP in both *B. subtilis* and *E. coli*, and it is able to bind early in the elongation phase, prior to full dissociation of the initiation factor  $\sigma$  (18,36,48). When RNAP reaches a pause region, it enters the elemental pause conformation that involves opening of the DNA-binding jaws and reduction in the number of contacts made with the DNA (46). In turn, opening of the jaws leads to loss of interaction with the FTH that permits opening of the RNA exit channel (47). The loss of constraints on the FTH facilitates pause

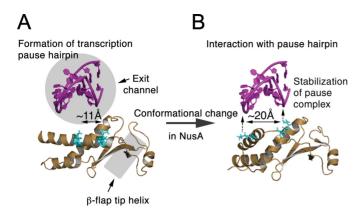


Figure 7. Conformational change in NusA<sub>N</sub> leads to transcription pause enhancement. (A) NusA<sub>N</sub> in complex with the  $\beta$ -flap tip helix (grey oblong) adjacent to a transcription pause hairpin (magenta) that has formed in the exit channel of RNAP (grey circle) viewed from a similar position to that shown in Figure 3F. R104 and K111 that are required for transcription pause enhancement are shown in cyan. (B) Following an FTHinduced conformational change, the spacing of R104 and K111 increases to ~20 Å, thereby permitting interaction with the phosphodiester backbone of the pause hairpin and stabilization of the pause event.

hairpin formation within the exit channel. Formation of the pause hairpin would permit interaction of R104 and K111 of NusA<sub>N</sub> with the phosphodiester backbone of the RNA, increasing the duration of the transcriptional pause. Pausing is a stochastic process and not all RNAP molecules that reach a pause site do pause, even when in complex with fulllength NusA or NusA<sub>N</sub> (16,49) (Figure 6B–D). Allosteric control of the conformational change in NusA<sub>N</sub> by RNAP appears to be on the same timescale as the rate of transcription, so that upon binding, the rate of NusA<sub>N</sub> interconversion is increased to the low ms range, similar to the rate of transcription (20 ms/nt at a rate of 50 nt/s), increasing the probability of interaction with the pause hairpin as it forms, accounting for the increase in average pause time for RNAP in complex with NusA. Therefore, regulation of transcription by NusA involves interaction with the emerging transcript and is responsive to allosteric conformational change induced by interaction with the  $\beta$ -flap tip of RNAP. which is itself highly mobile. Such plasticity of the spatial location of these key regulatory elements helps provide the exquisite modulation of transcriptional activity needed to permit cells to respond appropriately to changing circumstances.

# ACCESSION NUMBERS

Protein structures and NMR data have been deposited in the PDB/BioMagResBank with accession numbers 2LY7/18712 ( $\beta$ -flap) and 2MT4/25148 (NusAN).

#### SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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